

# Epworth Investment Funds for Charities Application form

Epworth Cash Plus Fund for Charities

Epworth Climate Stewardship Fund for Charities

Epworth UK Equity Fund for Charities

Epworth Multi-Asset Fund for Charities

Epworth Global Equity Fund for Charities

**Please complete this form in black pen and BLOCK CAPITALS.** Once complete, please return the form together with the enclosures as set out in the checklist (section 8) to **Epworth Investment Management Ltd, 2nd Floor, 9 Bonhill Street, London, EC2A 4PE.**

Epworth Investment Management Limited is the manager of the Epworth Investment Funds for Charities (Registered charity number 1182845) and the Epworth Cash Plus Fund for Charities (Registered charity number 1115887), (collectively "the Funds") and is authorised and regulated by the Financial Conduct Authority (FCA number 175451).

## 1. Application

I/We apply for units in the Fund(s) at the price ruling on the valuation date relevant to this application. This application is subject to the terms and conditions set out in the Epworth Investment Funds for Charities Prospectus or the Epworth Cash Plus Fund for Charities Scheme and Scheme Particulars, all of which are available on the Epworth website ([www.epworthinvestment.co.uk](http://www.epworthinvestment.co.uk)). I/We understand that an Epworth Cash Plus Fund for Charities account will be opened on behalf of the charity for all clients where one does not exist already. Professional clients may be exempt from this requirement. This allows ease of administration for your investment. Once the account is opened and we have supplied you with the bank details, you will pay the relevant investment amount into this account. For purchases, we will transfer the appropriate amount from this account on the relevant settlement date, to establish the charity's investment in our other fund(s). Proceeds of sales will settle to this account which can then be paid to your nominated bank account as indicated on your instructions.

Please add the amounts you wish to invest in the relevant boxes below (either the pound amount or number of units). **Please do not send any monies along with this application.** See section 4 for further details.

Epworth Cash Plus Fund for Charities

£

Epworth UK Equity Fund for Charities

£

or

units

Epworth Global Equity Fund for Charities

£

or

units

Epworth Climate Stewardship Fund for Charities

£

or

units

Epworth Multi-Asset Fund for Charities

£

or

units

## 2. About your charity

### Charity name

### Charity constitution

Trust deed     Limited company     Unincorporated organisation

Charitable incorporated organisation     Other (please state)

### Charitable status

To confirm the charitable status of your organisation please provide the charity registration number issued by the Charity Commission for England and Wales, the Office of the Scottish Charity Regulator (OSCR) or the Charity Commission for Northern Ireland. If there is no charity number, please advise the HM Revenue and Customs reference number issued by the Charity Section, HM Revenue and Customs (Charities), St. John's House, Bootle, Merseyside.

Charity number

HM Revenue & Customs reference number

or

### Correspondence details

Address

Postcode

Telephone number

Title

First name

Surname

Correspondent email address

If the correspondent is also intended to be a signatory to the Fund(s) then please include their details in section 6.

### Registered address of charity (if different from above)

Address

Postcode

### 3. Registration information

#### Holding

The holding(s) will be registered in the name of your Charity as set out in section 2. It is possible to add a designation for your own reference purpose below. If this section is not applicable then please leave it blank.

#### Nominee holdings

If the investment(s) are to be registered in a third party nominee name, please give details. If this section is not applicable then please leave it blank.

Name of nominee company

Correspondence address

Reference (where applicable)

### 4. Bank account information

#### Remittance information

**Please note that funds can only be remitted by bank transfer (cheques are not accepted).** We will confirm remittance bank account details once the account has been opened.

#### Charity bank details

Please set out details of the bank account to where withdrawals will be sent. Please attach documentary evidence to verify the details of the account. This can take the form of either a bank statement or an original paying-in slip.

Bank/Building society name

Account name

Sort code

Account number

Building society roll number

Swift code

Branch address

## 5. Income

Income can be reinvested, paid to your nominated bank account (see section 4) or, if you have one, paid to your Epworth Cash Plus Fund for Charities account.

Please indicate the preference:

Income from these and future investments in the Epworth Investment Funds for Charities should be (please tick one):

- Reinvested in the funds       Paid to the account shown in section 4  
 Paid to our Epworth Cash Plus Fund for Charities account

## 6. Authorisation, declaration and signatories

### Operating instructions

Charity Commission guidance states that accounts should be operated by at least two authorised signatories. We do understand that this is not always possible or practical for smaller charities. Please indicate below your preferred operating instructions:

- Any two authorised signatures       Any one authorised signature  
 Other instruction (please state)

### I/We the undersigned hereby confirm that:

- The Funds to which this application relates are and will at all times be held on behalf of the charity.
- The income will be used solely for charitable purposes.
- The charity is registered with the Charity Commission for England and Wales, the Office of the Scottish Charity Regulator (OSCR) or the Charity Commission for Northern Ireland and/or is registered with HM Revenue and Customs as set out in section 2 of this application. (If not then alternative proof of charitable status is required.)
- The charity is eligible to invest in the Funds.
- We have read the contents of the Epworth Investment Funds for Charities Prospectus and/or the Epworth Cash Plus Fund for Charities Scheme and Scheme Particulars (available on request or via the Epworth website at [www.epworthinvestment.co.uk](http://www.epworthinvestment.co.uk)).
- We have received and read the Non-UCITS retail scheme key investor information (KIID) document for the relevant Fund(s).
- The persons signing below are duly authorised to sign on behalf of the charity.
- We will inform Epworth Investment Management Limited should the charity cease to be eligible to remain invested in the Funds.

This warranty is deemed to be applicable to each additional contribution to the Funds. We will also notify Epworth Investment Management Limited in writing of any new specimen signature, including the person's name and capacity.

### Data protection

Information needed to carry out our agreement with you. Your personal details will be held by us in accordance with current data protection law for the purposes of carrying out our agreement with you. You agree that Epworth Investment Management Ltd may process your personal data to: (i) confirm your identity and carry out background checks; (ii) provide its services to you; (iii) follow up with you after you request information about its services; (iv) comply with all applicable laws and fulfil its regulatory obligations; (v) prevent and detect abuse of its services.

Epworth Investment Management Ltd may keep records of all business transactions for at least five years. Epworth Investment Management Ltd will treat all clients' records as confidential.

If you wish to exercise your rights to receive a copy of the information that we hold about you please write to us at Epworth Investment Management Ltd, 2nd Floor, 9 Bonhill Street, London EC2A 4PE.

### Authorised Signatory 1

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Position	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

### Authorised Signatory 2

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Position	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

### Authorised Signatory 3

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Position	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

### Authorised Signatory 4

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Position	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

### Authorised Signatory 5

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Position	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

### Authorised Signatory 6

Title	First name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Position	Date of birth	
<input type="text"/>	<input type="text"/>	
Home address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Date moved to this address	Driving licence number	
<input type="text"/>	<input type="text"/>	
Signature	Date	
<input type="text"/>	<input type="text"/>	

## 7. Additional information and notes

Please use this space to give us any additional information or explanations which are to be used in connection with your application. If necessary please continue on a separate sheet of paper.


## 8. Checklist

Please enclose the following documents:

- A copy of the charity's constitution documents
- An original paying in slip from the charity's designated bank account clearly showing the charity's name
- A copy of the charity's latest report and accounts

Please return this form together with the enclosures as set out in the checklist to:

**Epworth Investment Management Limited, 2nd Floor, 9 Bonhill Street, London, EC2A 4PE.**

### Internal use only

- Checklist documents verified
- Charitable status confirms no FATCA reporting required
- AML checks completed